



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15

Application No. 09/298,064

Filing Date April 22, 1999

First Named Inventor Guangcai Xing

Art Unit 1763

Examiner Name Rudy Zervigon

Attorney Docket Number 4887P090

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☒ Amendment / Response

☒ After Final  
☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ PTO/SB/08

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Basic Filing Fee

☐ Declaration/POA

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☒ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s)  
(please identify below)

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

William Thomas Babbitt, Reg. No. 39,591

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

*William T. Babbitt*

Date

10/29/03

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name **Nedy Calderon**

Signature

*Nedy Calderon*

Date

10/27/03



# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003 Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 440.00

## Complete if Known

Application Number 09/298,064  
 Filing Date April 22, 1999  
 First Named Inventor Guangcai Xing  
 Examiner Name Rudy Zervigon  
 Group/Art Unit 1763  
 Attorney Docket No. 4887P090

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments

☒ Charge any additional fees(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	500	2003	250	Plant filing fee	
1004	770	2004	385	Resouse filing fee	
1005	180	2005	90	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims	11	20*	0	18.00	\$0.00
Independent Claims	4	7*	0	86.00	\$0.00
Multiple Dependent					

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	200	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Resouse independent claims over original patent	
1205	18	2205	9	**Resouse claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

\*For number previously paid, if greater. For Resouses: see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	30	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	320 *	1804	320 *	* Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	* Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	110.00
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	300	2401	105	Notice of Appeal	330.00
1402	300	2402	105	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or resouse)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1907	50	1907	50	Processing fee under 37 CFR 1.17(a)	
1906	190	1906	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1909	770	1909	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1901	770	2901	385	Request for Continued Examination (RCE)	
1902	300	1902	300	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					(\$) 440.00

## SUBMITTED BY

Name (Print/Type) William Thomas Babbitt

Registration No. (Attorney/Agent)

39,591

## Complete (if applicable)

Telephone (310) 207-3800

Signature

William Thomas Babbitt

Date

10/27/03